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THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**PATENT** 

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### **Technology** Center 2600

Applicant(s):	) I hereby certify that this paper is
	) being deposited with the United
Maurice Milgram	) States Postal Service with
	) sufficient postage as first class mail
Serial No.: 09/900,716	) in an envelope addressed to:
	) Commissioner for Patents,
Filed: July 6, 2001	) Washington, D.C. 20231 on this
	) date:
For: Method of Securization Utilizing	)
Optical Transmission of Information	) January 16, 2002
Attorney Docket No.: 28944/37579	
	) /10mm2/1/1/(V)
Group Art Unit: 2652	) Thomas A. Miller
	) Registration No. 40,091
Examiner: Unassigned	) Attorney for Applicant(s)

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

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Sir:

FEB 2 0 2002

The patents and/or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 CFR §§ 1.56, 1.97, and 1.98. Copies of the patents or publications are enclosed as necessary.

#### TIME OF FILING

This information disclosure statement is being filed:

- 1. with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office Action on the merits, whichever event occurs last. In accordance with 37 CFR §1.97(b), no certification or fee is required.
- 2. after the time period specified in paragraph 1 above, but, to the best of the undersigned's knowledge, before the mailing date of a final action under 37 CFR §1.113 or notice of allowance under 37 CFR §1.311. Therefore, in accordance with 37 CFR §1.97(c), submitted herewith is:

#### (check either A or B below)

- A.  $\square$  a certification as specified in 37 CFR §1.97(e).
- B. 

  the fee set forth in 37 CFR §1.17(p) for submission of an information disclosure statement under 37 CFR §1.97(c).
- after the mailing date of either a final action under 37 CFR §1.113 or a notice of allowance under 37 CFR §1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant submits herewith:
  - A. a certification as specified in 37 CFR §1.97(e);
  - B. the petition fee set forth in 37 CFR §1.17(i); and
  - C. the accompanying petition under 37 CFR §1.97(d).

#### **CERTIFICATION**

(only used if No. 2 or No. 3 above is checked)

The person(s) signing below certify

#### (check appropriate paragraph)

that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 CFR §1.97(e)(1).

that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement. 37 CFR §1.97(e)(2).

#### **PETITION**

(only used if No. 3 above is checked)

Applicant(s) hereby petition(s) pursuant to 37 C.F.R. § 1.97(d) that this Information Disclosure Statement, Form PTO-1449, and document(s) identified therein be considered.

#### PRIOR AND RELATED APPLICATIONS

In accordance with 37 CFR §1.98(d), copies of the patents and publications listed on the enclosed PTO Form-1449 are not provided because the patents and publications were previously cited by, or submitted to, the Patent Office in a prior application that Applicant(s) rely upon for an earlier filing date under 35 U.S.C. §120. Documents listed on the attached Form PTO-1449 were cited by, or submitted to, the examiner of the following patent application(s):

Applicant(s): Serial No. Filing Date: Title: Status:

Other applications related to application Serial No. are:

Applicant(s): Serial No. Filing Date: Title: Status:

#### METHOD OF PAYMENT

- ☑ No fee is required.
- ☐ Attached is a check in the amount of \$\_.

The Commissioner is authorized to charge any fee deficiency required by this paper, or credit any overpayment, to Deposit Account No. 13-2855. A copy of this paper is enclosed.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN 6300 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606-6357 (312) 474-6300

By:

Thomas A. Mille

Reg. No: 40,091

January 16, 2002

Form PTO-1449 (Modified)



Atty. Docket No. 28944/37579

Serial No. 09/900,716

Applicant

Maurice Milgram

Filing Date
July 6, 2001

Group 2652

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

<u></u>		•	U.S. PAT	TENT DOCUMENTS			
*Examine r Initials		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	A1	4,599,489	7/08/86	Cargile			•
	A2	4,609,777	9/02/86	Cargile			<b>~</b>
	A3	4,819,267	4/04/89	Cargile, et al.		CEIVE	
	A4	4,910,775	3/20/90	Yves, et al.	1	B 1 4 20	
	A5	5,073,931	12/17/91	Audebert, et al.	Techno	ology Center	2600
	A6	5,136,644	9/04/92	Audebert, et al.			
	A7	5,297,205	3/22/94	Audebert, et al.		EWED	
	A8	5,347,110	9/13/94	Audebert, et al.	REC	EIVED 2 0 2002	
	A9	5,432,851	7/11/95	Scheidt, et al.	FEB	2 0 2002	00
	A10	5,594,493	1/14/97	Nemirofsky	Technolog	y Center 21	
	A11	5,767,896	6/16/98	Nemirofsky			
	A12	5,880,769	3/09/99	Nemirofsky, et al.			
	A13	5,907,350	5/25/99	Nemirofsky		./ `	
	A14	6,281,820	8/28/01	Fields			
	A15	Des313,229	12/25/90	Audebert, et al.			
	A16	Des313,410	1/01/91	Audebert, et al.			

**EXAMINER** 

DATE CONSIDERED

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SHEET 2 of 2

Form PTO-1449 (Modified)



U.S. Department of Commerce Patent and Trademark Office

**OSURE STATEMENT** 

Atty. Docket No. 28944/37579

Serial No. 09/900,716

Applicant

Maurice Milgram

Filing Date
July 6, 2001

Group 2652

(Use several sheets if necessary)

		F	OREIGN PA	TENT DOCU	MENTS			
							Translation	
*Examiner Initials		Document Number	Publication Date	·· Country	Class	Subclass	Yes	No
	B1	0 172 239 B1	05/03/89	EPO				
•	B2	0 236 412 B1	04/29/92	EPO	REC	EIVED		
	В3	0 267 085 B1	4/08/92	EPO	FEB	<b>4</b> 2002	Claims only	
	B4	0 338 936 B1	12/22/93	ЕРО	Technology	Center 26"	Claims only	
	В5	0 552 822 B1	5/19/99	ЕРО			Claims only	
	В6	0 425 347 B1	3/22/95	EPO	RECE	IVED	Claims only	
	В7	0 591 138 B1	1/29/97	ЕРО	FEB 2	0 2002	Claims only	
	В8	0 399 897 B1	3/23/94	EPO	Technology	Center 2100	Claims only	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)					

**EXAMINER** 

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.